Foundations of Automated Induction for a Structured Mechanized Logic

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### Soundness Bug Fixed in ACL2 Version 3.1 (12/06)

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(encapsulate ()
  (local
   (defun foo (x y)
     (declare (xargs : measure (acl2-count y)))
     (if (and (consp x) (consp y))
         (foo (cons x x) (cdr y))
      y)))
  (defun foo (x y) <... same body as above ...>))
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  (defun foo (x y) <... same body as above ...>))
(defthm bad
  (atom x)
  :rule-classes nil
  :hints (("Goal" :induct (foo x '(3)))))
(defthm contradiction
 nil
  :rule-classes nil
  :hints (("Goal" :use ((:instance bad (x '(7))))))
```

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#### Measured subset determines the legal induction schemes.

The wrong measured subset {x} permits the spurious induction scheme generated from (foo x '(3)).

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(AND (IMPLIES (NOT (CONSP X)) (:P X))
(IMPLIES (AND (CONSP X) (:P (CONS X X)))
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In the proof of NIL, (:P x) \triangleq (atom x).
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#### Should we require that the measure be supplied explicitly?

But suppose the measure in a LOCAL definition is also LOCAL.

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(local
 (defun foo (x y)
    (declare (xargs :measure (my-local-meas y)))
    ...))
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How can we tell ACL2 to admit foo non-LOCALly without exporting the measure?

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(defun foo (x y)
  (declare (xargs :measure (:? y)))
  ...)
```

ACL2 considers the second measure redundant.

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### Local Events in ACL2

Soundness bugs in past versions of ACL2 have often been due to subtle issues with ACL2's structuring mechanisms, in particular LOCAL events.

We need a clear specification of ACL2 at the logical level in order to have any hope of getting its design right, especially in the presence of LOCAL!

### Local Events in ACL2

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## Structured Theory

Existing logical formalization to account for LOCAL events:

 M. Kaufmann and J Moore, "Structured Theory Development for a Mechanized Logic." Journal of Automated Reasoning 26(2) (2001) 161-203.
 See Books and Papers Link in ACL2 Home Page

**Main Result:** If a formula  $\phi$  is proven as a theorem in an ACL2 session, then  $\phi$  is first-order derivable from the ground-zero theory together with only the axiomatic events in the session.

Key Observation Each extension principle (other than defaxiom) produces a conservative extension of the current theory.

The "Structured Theory" paper used a notion of interpreter admissibility to formalize the notion of a valid defun event.

- If a definition is admitted (with a measure) in ACL2 then it is interpreter admissible
- If a definition is interpreter admissible then there is a canonical measure admitting it.

Interpreter admissibility is a key ingredient in the proof of conservativity of ACL2's definitional principle.

But this notion does not account for induction schemes that take advantage of measured subsets.

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- Thesis: Getting the logical foundations of structuring mechanisms right is worth the considerable effort required, because it can help to avoid soundness bugs.
- Question: Why bother to support LOCAL at all? Answers:
  - 1. Supports independent proof development by different users.
  - 2. LOCAL events are skipped when including a book, which provides potentially large speed-ups in book inclusion.
  - 3. We want to require that theorems of an included book follow from its axiomatic events. LOCAL events allow us freely to add auxiliary definitions while preserving this requirement.

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 Details are in our new paper. Please feel free to request a preprint (available soon.....<sup>O</sup>).