

Implicational Rewriting Tactics

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Outline

- 1 Introduction
- 2 Implicational Rewriting
- 3 Target Rewriting
- 4 Conclusion

This work in one slide

- **Overall objective:** new tactics to increase automation
- **More precisely:** we identify some situations where we usually need to introduce a subgoal manually
- And we define tactics to automatize this subgoal introduction
- **Main benefit:** time saved

A concrete example

Objective:

- Prove Cauchy-Schwarz inequality
- For any complex inner-space
- In HOL Light

Initial goal (Cauchy-Schwarz)

$\forall s \langle \cdot | \cdot \rangle \times y.$

$isInnerSpace (s, \langle \cdot | \cdot \rangle) \wedge x \in s \wedge y \in s$

$\Rightarrow norm(\langle x | y \rangle)^2$

$\leq realOfComplex(\langle x | x \rangle).realOfComplex(\langle y | y \rangle)$

A concrete example

Proof without our tactics (1/3):

```
e(REPEAT STRIP_TAC
THEN Pa.SUBGOAL_THEN
"norm (inprod x y) pow 2 <=
  real_of_complex (inprod x x * (inprod (inprod x y / inprod x x % x)
    (inprod x y / inprod x x % x) + inprod (y - inprod x y
    / inprod x x % x) (y - inprod x y / inprod x x % x)))"
ASSUME_TAC
THENL [
  Pa.SUBGOAL_THEN
  "norm (inprod x y) pow 2 <=
    real_of_complex (Cx (norm (inprod x y) pow 2) * (inprod x x * inprod x x)
      * Cx (inv (norm (inprod x x) pow 2)) + inprod x x
      * inprod (y - (inprod x y * inv (inprod x x)) % x) (y - (inprod x y * inv (inprod x x)) % x))"
ASSUME_TAC
THENL [
  Pa.SUBGOAL_THEN
  "norm (inprod x y) pow 2 = norm (inprod x y) pow 2
    * norm (inprod x x) pow 2 * inv (norm (inprod x x) pow 2)"
ASSUME_TAC
THENL [
  Pa.ASM_CASES_TAC "(norm (inprod x x) pow 2 = &0)"
  THENL [
    POP_ASSUM MP_TAC THEN ASM_SIMP_TAC[REAL_MUL_LZERO;REAL_MUL_RZERO]
    THEN SIMP_TAC[REAL_POW_EQ_0]
    THEN ASM_MESON_TAC[COMPLEX_NORM_ZERO;INSPACE_ZERO_EQ;INSPACE_LZERO];
    ASM_SIMP_TAC[REAL_MUL_RINV;REAL_MUL_RID];
  ];
  Pa.SUBGOAL_THEN
  "real (Cx (norm (inprod x y) pow 2)) /\ real (inprod x x)
    /\ real (Cx (inv (norm (inprod x x) pow 2)))"
```

A concrete example

Proof without our tactics (2/3):

```

/\ real (Cx (norm (inv (inprod x x) pow 2)))
/\ real (inprod (y - (inprod x y * inv
(inprod x x)) % x) (y - (inprod x y * inv (inprod x x)) % x))
/\ real (Cx (norm (inprod x y) pow 2) * (inprod x x * inprod x x) *
Cx (inv (norm (inprod x x) pow 2)))
/\ real (inprod x x * inprod (y - (inprod x y * inv (inprod x x)) % x)
(y - (inprod x y * inv (inprod x x)) % x))
/\ real_of_complex (inprod x x) = norm (inprod x x)
/\ real_of_complex (inprod (y - (inprod x y * inv (inprod x x)) % x)
(y - (inprod x y * inv (inprod x x)) % x))
= norm (inprod (y - (inprod x y * inv (inprod x x)) % x)
(y - (inprod x y * inv (inprod x x)) % x))"
STRIP_ASSUME_TAC
THENL [
  ASM_MESON_TAC[REAL_CX; INSPACE_SELF_REAL; CFUN_SUBSPACE_SUB;
    CFUN_SUBSPACE_SMUL; INSPACE_IS_SUBSPACE; REAL_MUL; INSPACE_SELF_NORM];
  ASM_SIMP_TAC[REAL_OF_COMPLEX_ADD; REAL_OF_COMPLEX_MUL; REAL_MUL; REAL_OF_COMPLEX_CX; GSYM REAL_POW_2];
  THEN MATCH_MP_TAC (REAL_ARITH 'x = y /\ &0 <= z ==> x <= y + z');
  THEN ASSUM_LIST (REWRITE_TAC o map GSYM)
  THEN MATCH_MP_TAC REAL_LE_MUL THEN ASM_REWRITE_TAC[NORM_POS_LE]
];
];
ASM_REWRITE_TAC[complex_div; COMPLEX_ADD_LDISTRIB]
THEN Pa.SUBGOAL_THEN "(inprod x y * inv (inprod x x)) % x IN s" ASSUME_TAC
THENL [
  ASM_MESON_TAC[INSPACE_IS_SUBSPACE; CFUN_SUBSPACE_SMUL];
  Pa.SUBGOAL_THEN "inprod ((inprod x y * inv (inprod x x)) % x) ((inprod x y * inv (inprod x x)) % x)
= cnj (inprod x y * inv (inprod x x)) * (inprod x y * inv (inprod x x)) * inprod x x"
(fun x -> REWRITE_TAC[x])
THENL [
  ASM_MESON_TAC[INSPACE_LSMUL; INSPACE_RSMUL];

```

A concrete example

Proof without our tactics (3/3):

```

    ASM_REWRITE_TAC[CNJ_MUL;CNJ_INV;
      Pa.COMPLEX_FIELD "x * (cnj y * inv z) * (y * inv x) * x = (y * cnj y) * (x * x) * (inv (x * z))
      COMPLEX_MUL_CNJ;GSYM CX_POW;GSYM CX_INV]
  ];
];
];
POP_ASSUM MP_TAC
THEN Pa.SUBGOAL_THEN
  "(inprod x y / inprod x x % x) IN s
  /\ (y - inprod x y / inprod x x % x) IN s
  /\ are_orthogonal (s,inprod) (inprod x y / inprod x x % x) (y - inprod x y / inprod x x % x)"
STRIP_ASSUME_TAC
THENL [
  ASM_MESON_TAC[INSPACE_IS_SUBSPACE;CFUN_SUBSPACE_SMUL;CFUN_SUBSPACE_SUB;
    REWRITE_RULE[LET_DEFS]ARE_ORTHOGONAL_DECOMPOSITION;ARE_ORTHOGONAL_LSCALAR];
  ASM_MESON_TAC[];
]
];

```

→ Proof is 3 slides long

→ Most goals are *not* meaningful

A concrete example

Proof with our tactics:

```
(IMP_REWRITE_TAC[GSYM REAL_OF_COMPLEX_MUL; INSPACE_SELF_REAL]
THEN TARGET_REWRITE_TAC[REWRITE_RULE[LET_DEFS] ARE_ORTHOGONAL_DECOMPOSITION]
  ARE_ORTHOGONAL_INSPACE_SELF_ADD
THEN SEQ_IMP_REWRITE_TAC[REWRITE_RULE[LET_DEFS] ARE_ORTHOGONAL_DECOMPOSITION;
  ARE_ORTHOGONAL_LSCALAR; CFUN_SUBSPACE_SUB; INSPACE_RSMUL; CFUN_SUBSPACE_SMUL;
  INSPACE_IS_SUBSPACE; INSPACE_LSMUL; COMPLEX_ADD_LDISTRIB]
THEN REWRITE_TAC[complex_div; CNJ_MUL; CNJ_INV; COMPLEX_MUL_CNJ; GSYM CX_POW;
  Pa.COMPLEX_FIELD "x*(y*inv x)*(z*inv t)*x = (y*z)*((x*x)*inv(x*t))";
  GSYM CX_INV]
THEN IMP_REWRITE_TAC[REAL_OF_COMPLEX_ADD; REAL_CX; REAL_OF_COMPLEX_MUL;
  REAL_OF_COMPLEX_CX; REAL_MUL; INSPACE_SELF_REAL; CFUN_SUBSPACE_SUB;
  CFUN_SUBSPACE_SMUL; INSPACE_IS_SUBSPACE; GSYM INSPACE_SELF_NORM;
  GSYM REAL_POW_2; REAL_ARITH 'x = y /\ &0 <= z ==> x <= y + z'; REAL_LE_MUL;
  NORM_POS_LE]
THEN CASE_REWRITE_TAC REAL_MUL_RINV
THEN IMP_REWRITE_TAC[REAL_MUL_RID; REAL_MUL_LZERO; REAL_MUL_RZERO;
  REAL_POW_EQ_0; COMPLEX_NORM_ZERO; INSPACE_ZERO_EQ; INSPACE_LZERO]);;
```

→ Much shorter

→ Get rids of subgoals previously manually provided

Note:

- We do not want to get rid of *meaningful* subgoals.
- Just the ones introduced by the user because the thm prover does not provide him/her with any other mean to go on with

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Overview

Description by refinement from usual rewriting to implicational rewriting:

- ① Usual rewriting
- ② Conditional rewriting
- ③ Dependent rewriting
- ④ **Implicational rewriting**

Usual rewriting

Given:

- A goal g
- A theorem of the form $\vdash l = r$ such that g contains $l\sigma$ for some substitution σ

(let's focus on simple cases: one match only, r variables appear in l variables. . .)

Generate:

- A goal g' s.t. $l\sigma$ is turned into $r\sigma$

Problem:

- Many theorems actually have the form $\vdash P \Rightarrow l = r$

\Rightarrow Conditional rewriting

Usual rewriting

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Conditional rewriting

Given:

- A goal g
- A theorem of the form $P \Rightarrow l = r$ such that g contains $l\sigma$ for some substitution σ

Generate:

- A goal g' s.t. $l\sigma$ is turned into $r\sigma$
- **If the simplifier manages to prove $P\sigma$**

Problem: Often the simplifier cannot prove $P\sigma$

- Either because $P\sigma$ too complex
- Or because simplifier missing theorems
- Or because $P\sigma$ is simply false

→ then problem = no feedback (tactic fails or does not progress)

→ time-consuming to find out the required theorems or that the condition cannot be proved

⇒ Dependent rewriting

Conditional rewriting

Given:

- A goal g
- A theorem of the form $P \Rightarrow l = r$ such that g contains $l\sigma$ for some substitution σ

Generate:

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⇒ Dependent rewriting

Dependent rewriting

(naming used after Homeier in HOL4 - used in many places, never really named)

Given: (same input as conditional rewriting)

- A goal g
- A theorem of the form $P \Rightarrow l = r$ such that g contains $l\sigma$ for some substitution σ

Generate:

- A goal $P\sigma \wedge g'$ s.t. $l\sigma$ is turned into $r\sigma$ in g'
- **Variant:** generate g' and $P\sigma$ as two separate goals

Still some problems. Example:

- Goal: $\forall x. (\text{big term implying } x \neq 0) \Rightarrow \frac{x}{x} * y = y$
- Apply dependent rewriting with $\vdash x \neq 0 \Rightarrow \frac{x}{x} = 1$
- New goal: $x \neq 0 \wedge \forall x. (\text{big term impl. } x \neq 0) \Rightarrow 1 * y = y$
 \rightarrow the big term cannot be used to get rid of $x \neq 0$

\Rightarrow Implicational rewriting

Dependent rewriting

(naming used after Homeier in HOL4 - used in many places, never really named)

Given: (same input as conditional rewriting)

- A goal g
- A theorem of the form $P \Rightarrow l = r$ such that g contains $l\sigma$ for some substitution σ

Generate:

- A goal $P\sigma \wedge g'$ s.t. $l\sigma$ is turned into $r\sigma$ in g'
- **Variant:** generate g' and $P\sigma$ as two separate goals

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 \rightarrow the big term cannot be used to get rid of $x \neq 0$

\Rightarrow Implicational rewriting

Implicational rewriting

Given: (same input as conditional rewriting)

- A goal g
- A theorem of the form $P \Rightarrow l = r$ such that g contains $l\sigma$ for some substitution σ

Generate:

- A goal g' s.t. $l\sigma$ is turned into $r\sigma$
- *And containing $P\sigma$ as close as possible to the atom containing $l\sigma$*

Just a detail, but surprisingly makes this thing much more useful

Note: A little bit of care on how to add $P\sigma$:

- $P\sigma$ added as a *conjunct* in positive positions
- As a *premise* in negative positions

Implicational rewriting: Real-life example (1/4)

Excerpt from the Cauchy-Schwarz example:

Goal

$$\begin{aligned} & \forall s \langle \cdot | \cdot \rangle x y. \text{isInnerSpace } (s, \langle \cdot | \cdot \rangle) \wedge x \in s \wedge y \in s \\ & \Rightarrow \text{norm } (\langle x | y \rangle)^2 \leq \mathbf{realOfComplex} \\ & \quad (\text{norm } (\langle x | y \rangle)^2 \cdot (\langle x | x \rangle \cdot \langle x | x \rangle) \cdot \frac{1}{\text{norm}(\langle x | x \rangle)^2} + \\ & \quad \langle x | x \rangle \cdot \langle y - (\langle x | y \rangle \cdot \frac{1}{\langle x | x \rangle}) \% x \mid y - (\langle x | y \rangle \cdot \frac{1}{\langle x | x \rangle}) \% x \rangle) \end{aligned}$$

We want to use the theorem:

Theorem

$$\begin{aligned} & \vdash \forall u v. \text{real } u \wedge \text{real } v \\ & \Rightarrow \mathbf{realOfComplex} (u + v) = \text{realOfComplex } u + \text{realOfComplex } v \end{aligned}$$

→ Conditional rewriting requires identifying the instantiations of u, v and the corresponding theorems to get rid of the condition

→ **time-consuming**

⇒ **natural to want the machine do it for us**

Implicational rewriting: Real-life example (2/4)

Dependent rewriting is not enough. Yields:

Goal obtained by dependent rewriting

$$\begin{aligned}
 & \mathit{real} \left(\mathit{norm} (\langle x|y \rangle)^2 \cdot (\langle x|x \rangle \cdot \langle x|x \rangle) \cdot \frac{1}{\mathit{norm}(\langle x|x \rangle)^2} \right) \\
 & \wedge \mathit{real} \left(\langle x|x \rangle \cdot \left\langle \left(y - \left(\langle x|y \rangle \cdot \frac{1}{\langle x|x \rangle} \right) \% x \right) \middle| \left(y - \left(\langle x|y \rangle \cdot \frac{1}{\langle x|x \rangle} \right) \% x \right) \right\rangle \right) \\
 & \wedge \forall s \langle \cdot | \cdot \rangle x y. \mathit{isInnerSpace} (s, \langle \cdot | \cdot \rangle) \wedge x \in s \wedge y \in s \\
 & \Rightarrow \mathit{norm} (\langle x|y \rangle)^2 \leq \mathit{realOfComplex} \\
 & \quad \left(\mathit{norm} (\langle x|y \rangle)^2 \cdot (\langle x|x \rangle \cdot \langle x|x \rangle) \cdot \frac{1}{\mathit{norm}(\langle x|x \rangle)^2} \right) \\
 & \quad + \mathit{realOfComplex} (\langle x|x \rangle \cdot \\
 & \quad \left\langle \left(y - \left(\langle x|y \rangle \cdot \frac{1}{\langle x|x \rangle} \right) \% x \right) \middle| \left(y - \left(\langle x|y \rangle \cdot \frac{1}{\langle x|x \rangle} \right) \% x \right) \right\rangle \right)
 \end{aligned}$$

→ instantiations automatically found

→ **but new goal not provable**

($x, y, s, \langle \cdot | \cdot \rangle$, are out of scope)

Implicational rewriting: Real-life example (3/4)

There is a solution allowing to still use dep. rewriting:

- Start over
- Discharge $isInnerSpace (s, \langle \cdot | \cdot \rangle) \wedge x \in s \wedge y \in s$
- Now only, apply dependent rewriting
- Use the premise (now in the assumptions) to prove $P\sigma$

→ but not satisfying:

- 1 *First and foremost, these steps can be automatized (and are not mathematically meaningful)*
→ So if it can be automatized why not doing it?
- 2 *Discharging often leads several goals*
→ Breaks compositionality
- 3 *Different behavior than usual/conditional rewriting*

Implicational rewriting: Real-life example (3/4)

There is a solution allowing to still use dep. rewriting:

- Start over
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→ but not satisfying:

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- 2 *Discharging often leads several goals*
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- 3 *Different behavior than usual/conditional rewriting*

Implicational rewriting: Real-life example (4/4)

On the other hand, implicational rewriting yields:

Goal obtained by implicational rewriting

$$\begin{aligned}
 & \forall s \langle \cdot | \cdot \rangle x y. \text{isInnerSpace} (s, \langle \cdot | \cdot \rangle) \wedge x \in s \wedge y \in s \\
 & \Rightarrow \text{real} (\text{norm} (\langle x | y \rangle)^2 \cdot (\langle x | x \rangle \cdot \langle x | x \rangle) \cdot \frac{1}{\text{norm}(\langle x | x \rangle)^2}) \\
 & \quad \wedge \text{real} (\langle x | x \rangle \cdot \langle (y - (\langle x | y \rangle \cdot \frac{1}{\langle x | x \rangle}) \% x) | y - (\langle x | y \rangle \cdot \frac{1}{\langle x | x \rangle}) \% x \rangle) \\
 & \quad \wedge \text{norm} (\langle x | y \rangle)^2 \leq \text{realOfComplex} \\
 & \quad (\text{norm} (\langle x | y \rangle)^2 \cdot (\langle x | x \rangle \cdot \langle x | x \rangle) \cdot \frac{1}{\text{norm}(\langle x | x \rangle)^2}) \\
 & \quad + \text{realOfComplex} (\langle x | x \rangle \cdot \\
 & \quad \langle (y - (\langle x | y \rangle \cdot \frac{1}{\langle x | x \rangle}) \% x) | (y - (\langle x | y \rangle \cdot \frac{1}{\langle x | x \rangle}) \% x) \rangle)
 \end{aligned}$$

→ instantiations automatically found

→ and new goal still provable

Summing up: *automatized, compositionality improved, similar behavior as rewriting*

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Motivation

Problem with implicational rewriting:

- Sometimes works **too well** (quoting Marco Maggesi)
→ diverges or rewrites too many things
- Usual solution in $HOL\{4-Light\}$: “ONCE” rewrite
(applies the rewrite once; in parallel positions)
- Usual further problems: still rewrites too many
- Usual solutions: better ways to select what is rewritten
(manually giving positions, patterns, ...)
- But still done manually: time-consuming

→ **Target rewriting**

Why does that actually happen?

Why do we actually want to apply a rewrite in a particular position/a given number of times?

My answer/feeling:

- Because we think one step further
- We know that the next step of the proof applies to a particular pattern only
- So we want to massage the goal **so as to** obtain this pattern

Target rewriting

What if we have a tactic which takes two theorems:

- ① one to be used for massaging
- ② the other to be used for the "next step"

And the tactic does the job of using the massaging theorem on the goal **so that the next step can apply**

→ **Target rewriting**

Concrete example: problems without target rewriting

Back again to Cauchy-Schwarz:

Initial goal

$$\forall s \langle \cdot | \cdot \rangle x y. \text{isInnerSpace}(s, \langle \cdot | \cdot \rangle) \wedge x \in s \wedge y \in s \\ \Rightarrow \text{norm}(\langle x | y \rangle)^2 \leq \text{realOfComplex}(\langle x | x \rangle \cdot \langle y | y \rangle)$$

And we want to use the following decomposition theorem:

Theorem (Decomposition)

$$\forall s \langle \cdot | \cdot \rangle u v. \text{isInnerSpace}(s, \langle \cdot | \cdot \rangle) \wedge u \in s \wedge v \in s \\ \Rightarrow u = \frac{\langle v | u \rangle}{\langle v | v \rangle} v + u - \frac{\langle v | u \rangle}{\langle v | v \rangle} v \wedge v \perp (u - \frac{\langle v | u \rangle}{\langle v | v \rangle} v)$$

In order to obtain:

Expected goal

$$\forall s \langle \cdot | \cdot \rangle u v. \text{isInnerSpace}(s, \langle \cdot | \cdot \rangle) \wedge u \in s \wedge v \in s \\ \Rightarrow \text{norm}(\langle x | y \rangle)^2 \leq \text{realOfComplex}(\langle x | x \rangle \cdot \left\langle \frac{\langle x | y \rangle}{\langle x | x \rangle} x + y - \frac{\langle x | y \rangle}{\langle x | x \rangle} x \middle| \frac{\langle x | y \rangle}{\langle x | x \rangle} x + y - \frac{\langle x | y \rangle}{\langle x | x \rangle} x \right\rangle)$$

Concrete example: using target rewriting (1/2)

Instead of trying with rewriting, let's step back:

Initial goal

$$\begin{aligned} & \forall s \langle \cdot | \cdot \rangle \ u \ v. \text{isInnerSpace}(s, \langle \cdot | \cdot \rangle) \wedge u \in s \wedge v \in s \\ & \Rightarrow \text{norm}(\langle x | y \rangle)^2 \leq \text{realOfComplex} \left(\right. \\ & \quad \left. \langle x | x \rangle \cdot \left\langle \frac{\langle x | y \rangle}{\langle x | x \rangle} x + y - \frac{\langle x | y \rangle}{\langle x | x \rangle} x \middle| \frac{\langle x | y \rangle}{\langle x | x \rangle} x + y - \frac{\langle x | y \rangle}{\langle x | x \rangle} x \right\rangle \right) \end{aligned}$$

Question: *why do we want to obtain this goal?*

Answer: because we actually want to use the following theorem afterwards:

Theorem (\approx Commutativity of sum and inner product under orthogonality)

$$\begin{aligned} & \forall s \text{ inprod } u \ v. \text{isInnerSpace}(s, \langle \cdot | \cdot \rangle) \wedge u \in s \wedge v \in s \wedge u \perp v \\ & \Rightarrow \langle u + v | u + v \rangle = \langle u | u \rangle + \langle v | v \rangle \end{aligned}$$

Concrete example: using target rewriting (2/2)

So that's what target rewriting does:

Initial goal

$$\forall s \langle \cdot | \cdot \rangle \ x \ y. \text{isInnerSpace}(s, \langle \cdot | \cdot \rangle) \wedge x \in s \wedge y \in s \\ \Rightarrow \text{norm}(\langle x | y \rangle)^2 \leq \text{realOfComplex}(\langle x | x \rangle \cdot \langle y | y \rangle)$$

TARGET_REWRITE_TAC [DECOMPOSITION_THM] ORTHOGONAL_PROD_SUM;;

Obtained goal

$$\forall s \langle \cdot | \cdot \rangle \ u \ v. \text{isInnerSpace}(s, \langle \cdot | \cdot \rangle) \wedge u \in s \wedge v \in s \\ \Rightarrow \text{norm}(\langle x | y \rangle)^2 \leq \text{realOfComplex} \left(\langle x | x \rangle \cdot \left\langle \frac{\langle x | y \rangle}{\langle x | x \rangle} x + y - \frac{\langle x | y \rangle}{\langle x | x \rangle} x \middle| \frac{\langle x | y \rangle}{\langle x | x \rangle} x + y - \frac{\langle x | y \rangle}{\langle x | x \rangle} x \right\rangle \right)$$

→ yields the expected goal

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Summary

- Less need for manual input
- Better feedback
- Time saved

Implementation:

- Now integrated in HOL Light
- Implementation in HOL4 also available (but still buggy for now)

Related Work

Implicational rewriting:

- dependent rewriting (HOL4, Homeier) and many similar works in HOL Light, Isabelle, Coq
- fact of reasoning deeply: *deep inference*
- if formulas seen as clauses: *superposition calculus*

Target rewriting:

- similar to *smart matching* in Matita, but different usage/philosophy (and much less efficient)
- conceptually can be seen as an instance of *deduction modulo*
- *AC-rewriting* is a particular case

Thanks!

Discussion

Do people really want this kind of automation?

- I hear many times that people prefer to state explicitly some subgoals, in particular for readability
- I am more in favour of the machine finding out what I want
- And then retrieve the information by replaying the scripts, or using a tool like Proviola¹
- I think it is better for proof engineering to make the scripts less fragile
- But I also hear exactly the same argument precisely to go towards more explicit information in the scripts. . .

In any case, one can still use the tactic when meaningful and not use it otherwise. . .

¹Tanking, Geuvers, McKinna, Wiedijk